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## TEAM: UN-KIT-SWITCHING BATTERY

Cooperation of Universidad Nacional de Colombia (UN), Karlsruhe Institute of Technology (KIT) and SWITCHING BATTERY company

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<https://ingenieria.bogota.unal.edu.co/es/dependencias/departamentos/departamento-de-ingenieria-electrica-y-electronica.html>

<https://www.scc.kit.edu/ueberuns/uq.php>

Steinbuch Centre for Computing (SCC)

SCC

SCC > Über uns > Organisation > Abteilungen & Forschungsgruppen > Junior Research Group Uncertainty Quantification

## UQ - Junior Research Group Uncertainty Quantification

<https://www.switchingbattery.com/>

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## ENSEMBLED ALGORITHM MVMO-RCEDUMDA-HyDE

- **INTRODUCTION**
- **APPROACH**
  - ENSEMBLED IN OPTIMIZATION TECHNIQUES
  - A. MVMO (Mean-Variance Mapping Optimization)
  - B. RCEDUMDA (Ring Cellular Encode-Decode UMDA)
  - C. HyDE (Hybrid-adaptive differential evolution)
- **PERFORMANCE**

- **APPROACH FOR THE 2023 SMART GRID COMPETITION**

- ❖ **INITIALIZATION →**

- A. MVMO (Mean-Variance Mapping Optimization)

- ❖ **EXPLORATION AND EXPLOITATION →**

- B. RCEUMDA (Ring Cellular Encode-Decode UMDA)

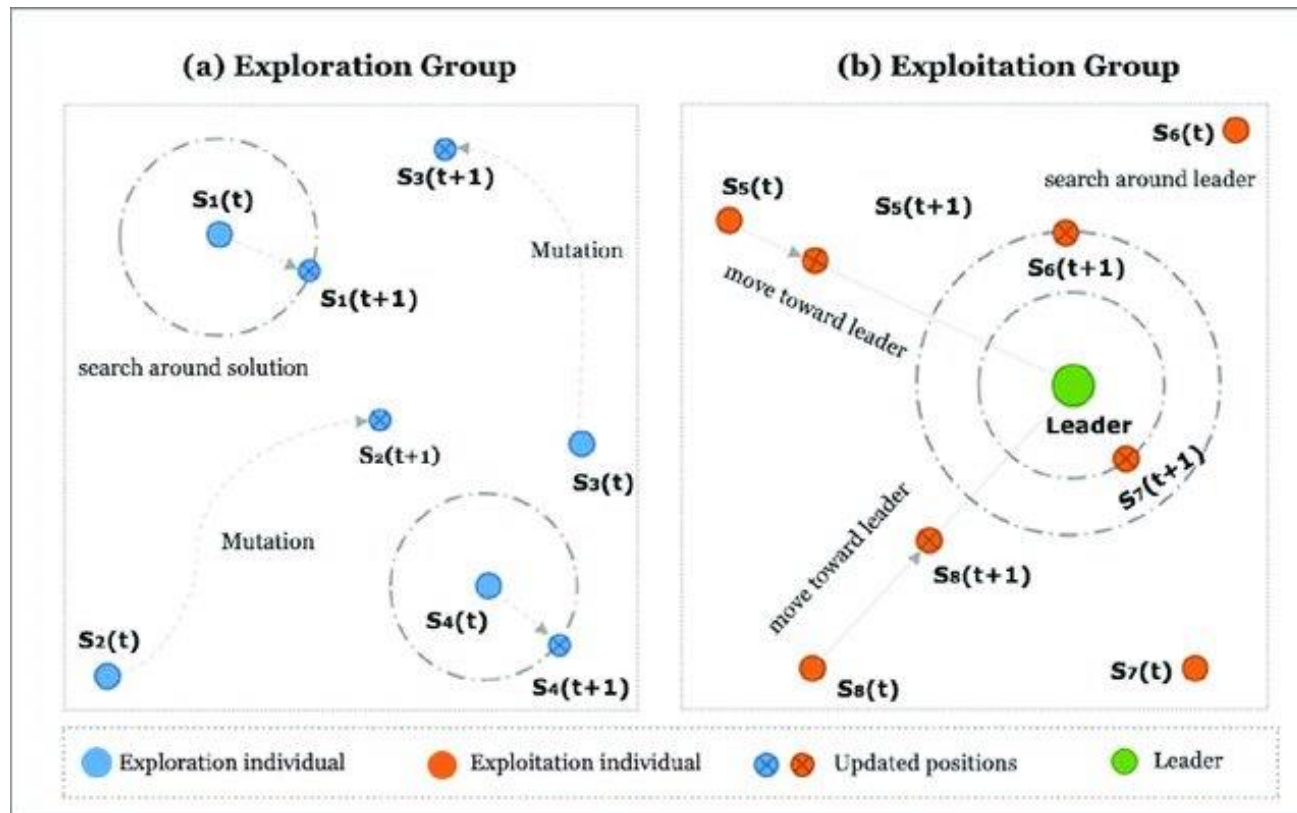
- ❖ **EXPLOITATION →**

- C. HyDE (Hybrid-adaptive differential evolution)

- ❖ **TUNING PROCESS**

## ○ APPROACH FOR THE 2023 SMART GRID COMPETITION

### ❖ EXPLORATION AND EXPLOITATION →

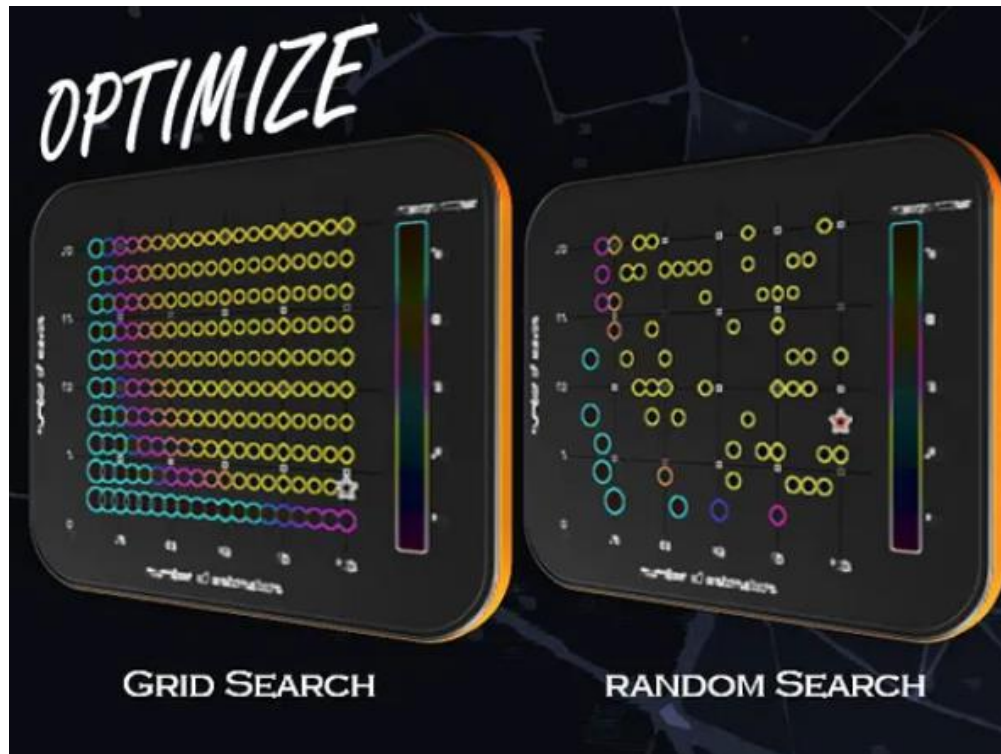


RCEDUMDA  
and HyDE

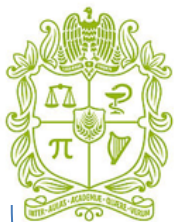
RULES

## ○ APPROACH FOR THE 2023 SMART GRID COMPETITION

### ❖ TUNING PROCESS



## ○ APPROACH FOR THE 2023 SMART GRID COMPETITION



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Gradient  
Free  
Optimizers

**Efficient Search with an Ensemble of  
Heuristics**



# A. MVMO (Mean-Variance Mapping Optimization)



## Application of Mean Variance Mapping Optimization (MVMO) to Solve OPF Problems

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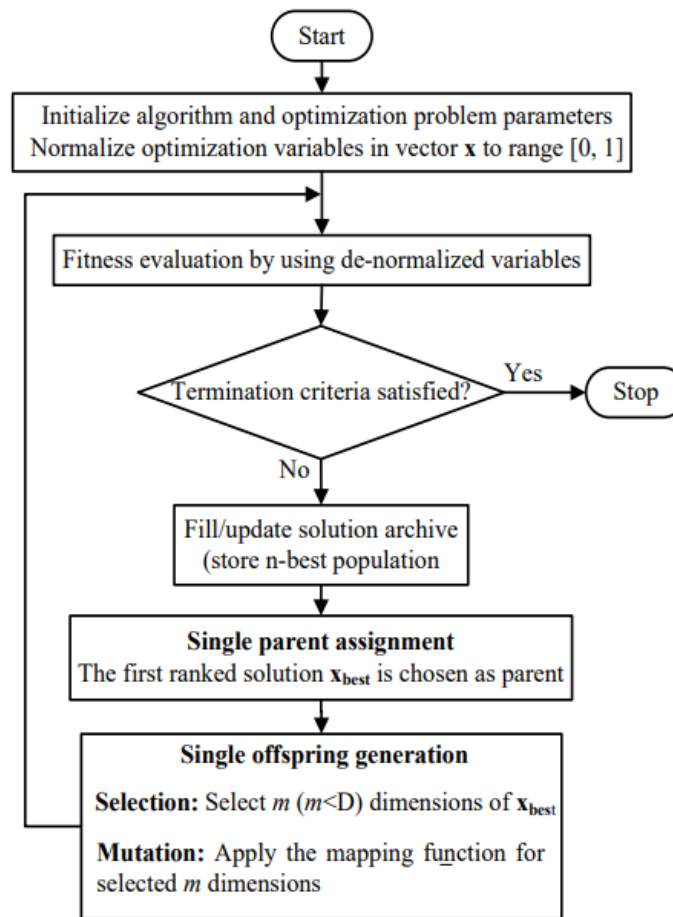
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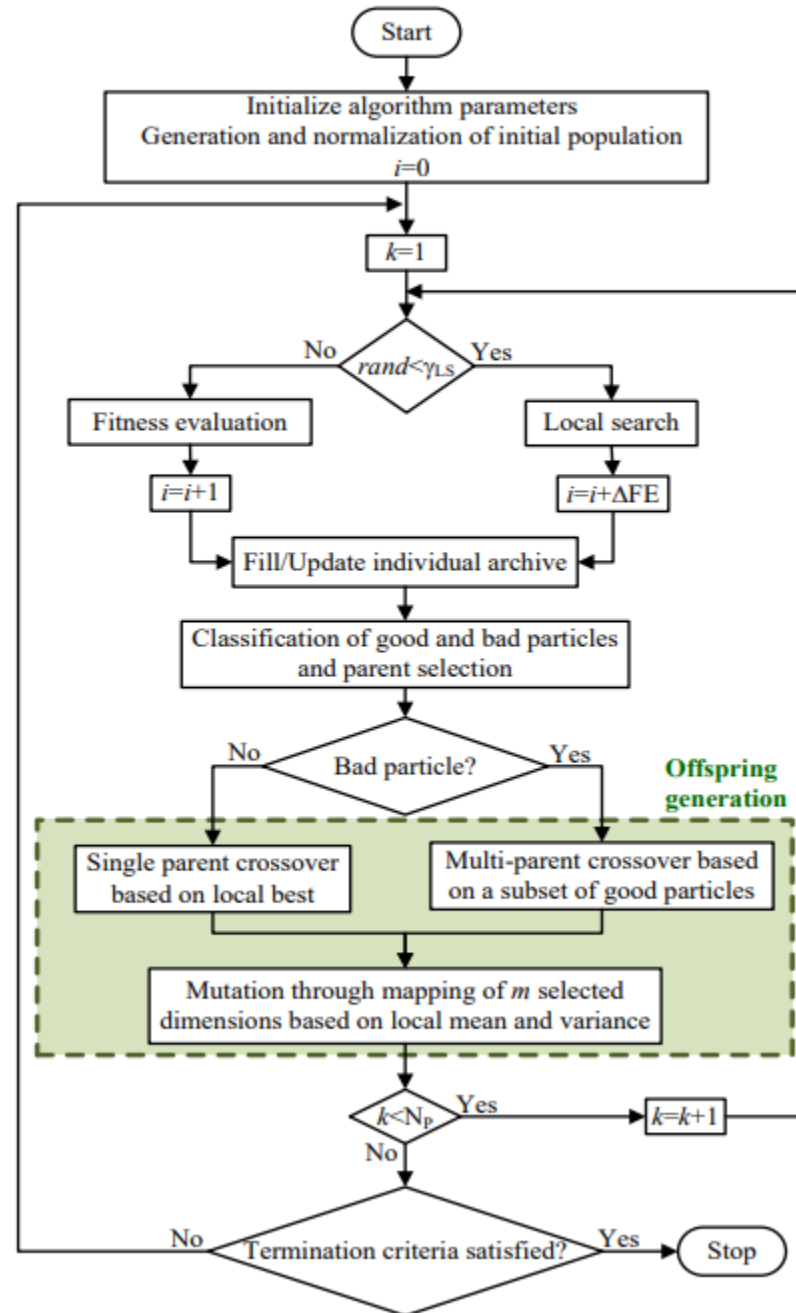


# A. MVMO (Mean-Variance Mapping Optimization)

## Rationale behind MVMO

- ❑ Introduced by I. Erlich (University Duisburg-Essen, Germany ) in 2010
- ❑ Internal search range of all variables restricted to  $[0, 1]$ .
- ❑ **Solution archive:** knowledge base for guiding the searching direction.
- ❑ **Mapping function:** Applied for mutating the offspring on the basis of the mean and variance of the n-best population attained so far.





# B. RCEDUMDA (Ring Cellular Encode-Decode UMDA)

## RCEDUMDA: Ring Cellular Encode-Decode UMDA



Uses a **cellular ring** structure for partitioning the population into many small sub-populations or cells.

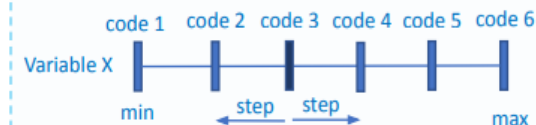
**Reduces the search space** converting the continuous variables into categorical variables (encoding) and reconverting the categorical variables into continuous variables (decoding).

Generates new encoded individuals from the **univariate marginal distribution** (including scales probabilities) of the best encoded individuals of the sub-populations.

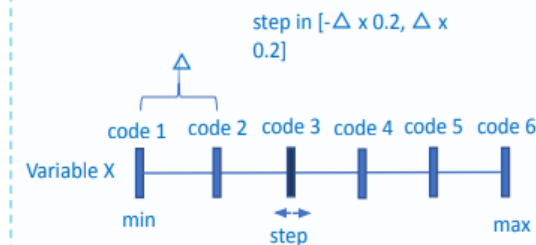
Uses **elitism** to maintain the best individuals in the next generation

Reduces the search space converting the continuous variables into categorical variables (encoding) and reconverting the categorical variables into continuous variables (decoding).

Use a discrete step into a range of the number of codes parameter. Example with 6 codes and step=1:



The range of the step is a fraction of the range between values associated with the codes. Example with 6 codes and ratio = 0.2:



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# B. RCEDUMDA (Ring Cellular Encode-Decode UMDA)

## RCEDUMDA: Ring Cellular Encode-Decode UMDA

### Ring Cellular Encode-Decode UMDA (RCEDUMDA)

```

1: function RCEDUMDA(Pop, c, m, maxIt, l, s, r,  $\alpha$ , k, minB, maxB)
2:   ▷ Input:
   Pop - initial population
   c - number of cells,           m - size of the cells,
   maxIt - maximum iteration,    l - number of elitist individuals,
   s - number of selected individual, r - neighborhood ratio,
    $\alpha$  - additional occurrence,   k - number of codes,
   minB - vector of min bounds,  maxB - vector of max bounds
3:   ▷ Output:
   bestSol - best solution
4:   t ← 1
5:   while t ≤ maxIt do
6:     Select globally l elitist individuals
7:     for all cell ∈ Pop do
8:       M ← the m best individuals in neighborhood(cell, r)
9:       eM ← encode(M, k, minB, maxB)
10:      p ← the estimated distribution  $\prod_{i=1}^l p(x_i)$  from eM
11:      p ← scale(p,  $\alpha$ )
12:      eC ← c new individuals generating according to p
13:      C ← decode(eC, k, minB, maxB)
14:      Insert C in the same cell of an auxiliary population auxPop
15:      Replace the Pop with auxPop
16:      Include the elitist individuals, replacing the individuals in their positions
17:      t ← t + 1
18:   bestSol ← the best individual in Pop
19:   return bestSol

```

### General considerations

- Pop is structured as a ring composed of adjacent cells. Each cell contains a set of individuals.
- Elitist individuals are not evaluated in later generations. This fact saves evaluations that are used in extra generations.
- An initial population that includes the 20% of solutions initialized with the variable's lower bounds is a diverse but promising sample of the search space.

### Further related bibliography

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 Julio Madera, Universidad de Camagüey, Cuba



## C. HyDE (Hybrid-adaptive differential evolution)

```
HyDE(deParameters,otherParameters,low_habitat_limit,up_habitat_limit,initialSolution)
```

```
% Author: Fernando Lezama, GECAD/ISEP 2019 (Contacting.flezama@gmail.com)
```

```
% Description: Minimization of a user-supplied function with respect to x(1:I_D), using Hybrid-adaptive differential evolution with decay function (HyDE-DF).
```

```
% For this algorithm we download DE source code from Rainer Storn, Ken Price, Arnold Neumaier, Jim Van Zandt.
```

```
% Due to the vectorized expressions it executes fairly fast in MATLAB's interpreter environment.
```

# C. HyDE (Hybrid-adaptive differential evolution)

Differential evolution (DE) algorithm is a simple, fast and efficient population-based direct search algorithm to solve global optimization problems. Due to the advantages of the simple structure, easy use, robustness, and fast convergence, the DE algorithm has been widely used in many fields, and achieved good applied results. The DE algorithms use the basic framework of genetic algorithm to design a unique differential mutation operator. Their basic operation includes the mutation operation, crossover operation and selection operation. The flow chart of DE algorithm is shown in Figure 1.

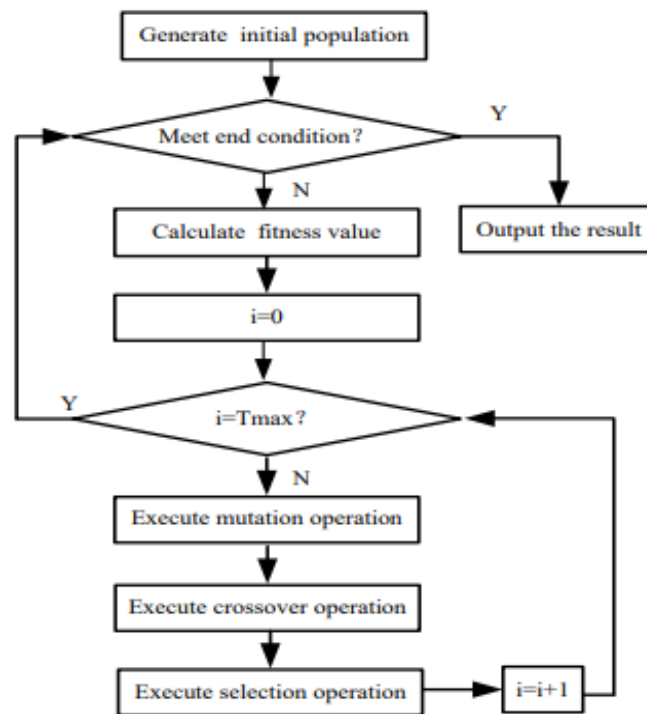


Figure 1. The Flow of DE Algorithm



# Performance of the **ENSEMBLED ALGORITHM MVMO- RCEDUMDA-HyDE**

to be known

Competition on Evolutionary  
Computation in the Energy Domain:  
Operation and Planning Applications